

## UTILITY PATENT APPLICATION TRANSMITTAL

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No. 50269-0511

## TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, <u>Eugene J. Rollins</u>, et al. entitled <u>PROVIDING NAVIGATION OBJECTS FOR COMMUICATIONS OVER A NETWORK</u>, for a(n):

(X)	Original	Patent Application.
( )	Continui ( )	ing Application (prior application not abandoned):  Continuation ( ) Divisional ( ) Continuation-in-part (CIP) of prior application No: Filed on:  A statement claiming priority under 35 USC § 120 has been added to the specification.
		g priority ander 55 050 g 120 has been added to the specification.
Enclos	sed are:	
	(X)	Specification 58 Total Pages; (X) Drawing(s) 12 Total Sheets; (X) Cover Sheet 1 Page
	(X)	Oath or Declaration: 4 Pages
		(X) A Newly Executed Combined Declaration and Power of Attorney:
		(X) Signed. ( ) Unsigned. ( ) Partially Signed.
		( ) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).
		( ) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the
		oath or declaration is supplied, is considered as being part of the disclosure of the accompanying
		application and is hereby incorporated herein by reference.
		( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).
	( )	Power of Attorney. (X) Return Receipt Postcard.
	( )	Associate Power of Attorney. ( ) A Check in the amount of \$1,070.00 for the Filing Fee.
	( )	Preliminary Amendment. () Information Disclosure Statement and Form PTO-1449.
	(X)	Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
	( )	A Duplicate Copy of this Form for Processing Fee Against Deposit Account.
	( )	A Certified Copy of Priority Documents (if foreign priority is claimed).
	( )	Applicant(s) is entitled to small entity status. See 37 CFR 1.27.
	( )	Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.
	(X)	Recordation of Assignment Cover Sheet (1 ng) and executed Assignment (4 ngs) with \$40.00 recordation for

		CLAIMS AS FILED		
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	17	0	\$18.00	\$ 0.00
Independent Claims	7	4	\$80.00	\$ 320.00
Multiple Dependent Clai	\$0.00			
Assignment Recording F	\$40.00			
Basic Filing Fee				\$710.00
			Total Filing Fee	\$ 1,070.00

Charge \$\_\_\_\_\_\_ to Oracle Deposit Account <u>50-1302</u> pursuant to 37 CFR § 1.25. Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

Other:

By Craig G. Holmes, Reg. No. 44,770

Date: December 22, 2000

Correspondence Address:

Hickman Palermo Truong & Becker, LLP 1600 Willow Street San Jose, California 95125-5106 Telephone: (408) 414-1080

Facsimile: (408) 414-1076

I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Commissioner for Patents Box Patent Application Washington, D.C. 20231

Typed Name: Jennifer Newell

Express Mail Label No.: EL652871494US

Date of Deposit: December 22, 2000

December 22, 2000

PTO/SB/17 (12/99)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision, Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 AND 1.28

TOTAL AMOUNT OF PAYMENT

(\$) 1,070.00

	Complete if Known					
	Complete if Known					
Application Number	NYA					
Filing Date	December 22, 2000					
First Named Inventor	Eugene J. Rollins, et al.					
Examiner Name	NYA					
Group/Art Unit	NYA					
Attorney Docket No.	50269-0511					

				<del></del>		
METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)					
Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-13002. A duplicate of this sheet is enclosed.	3. Large Fee	ADDIT	ONAL Small I Fee		Fee Description	Fee Paid
Deposit Account Number 50-1302	105	130	205	65	Surcharge late filing fee or oath	
Deposit Account Name Hickman Palermo Truong & Becker, LLP	127	50	227	25	Surcharge – late provisional filing fee or cover sheet.	
2. X Payment Enclosed:	139	130	139	130	Non-English specification	
X Check Money Order Other	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
<ol> <li>Applicant(s) is entitled to small entity status.</li> <li>See 37 CFR 1.27</li> </ol>	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	115	110	215	55	Extension for reply within first month	
. BASIC FILING FEE	116	390	216	195	Extension for reply within second month	
arge Entity Small Entity	117	890	217	445	Extension for reply within third month	· · · · · · · · · · · · · · · · · · ·
ee Fee Fee Fee Description ode (\$) Code (\$) Fee Paid	118	1,390	218	695	Extension for reply within fourth month	
01 710 201 355 Utility filing fee 710.00	128	1,890	228	945	Extension for reply within fifth month	
06 320 206 160 Design filing fee	119	310	219	155	Notice of Appeal	
07 490 207 245 Plant filing fee	120	310	220	155	Filing a brief in support of an appeal	
08 710 208 355 Reissue filing fee	121	270	221	135	Request for oral hearing	
14 150 214 75 Provisional filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding	
SUPTOTAL (4)	140	110	240	55	Petition to revive – unavoidable	
SUBTOTAL (1) (\$) 710.00  EXTRA CLAIM FEES	141 142	1,210 1,210	241 242	605	Petition to revive – unintentional	
Fee from	1	•		605	Utility issue fee (or reissue)	
Extra Claims Below Fee Paid  otal Claims 17 -20**= 0 x 18.00 = 0.00	143	430	243	215	Design issue fee	
dependent 7 2** 4 X 99 99 7 320 00	144	580	244	290	Plant issue fee	
aims / Getter Section	122	130	122	130	Petitions to the Commissioner	
ultiple Dependent	123	50	123	50	Petitions related to provisional applications	
or number previously paid, if greater; For Reissues, see below large Entity Small Entity	126	240	126	240	Submission of information Disclosure Stmt	
e Fee Fee Fee Fee Description ode (\$) Code (\$)	581	40	581	40	Recording each patent assignment per property (times number of properties)	.00
103 18 203 9 Claims in excess of 20	146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))	
102 80 202 40 Independent claims in excess of 3	149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))	
104 270 204 135 Multiple dependent claim, if not paid	011-	,	• •			
109 80 209 40 **Reissue independent claims over original patent	Other fe	ee (speci	ту) <u> </u>			
110 18 210 9 **Reissue claims in excess of 20 and over original patent	Other fe	e (speci	fy)			
SUBTOTAL (2) (\$) 320.00	*Reduce	ed by Ba	sic Filin	Fee Pa	aid SUBTOTAL (3) (\$) 40.00	***
JBMITTED BY					Complete (if applicable)	
ame (Print/Type) Craig G. Holmes		R	egistration httorney/Ag	No 4	4,770 Telephone (408) 414	-1080
ignature	1					00 000

Signature WARNING:

Information on this form may become public. Credit card information should not be Included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231.

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Approved for use through 10/31/2002. OMB 0651-0031

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PTO/SB/35 (11-00)

## REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor Eugene J. Rollins, et al.

Title Providing Navigation Objects for Communications Over a Network

Atty. Docket Number 50269-0511

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

December 22, 2000 Date

Craig G. Holmes
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).** 

Burden Hour Statement: This collection of information is required by37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request) Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Box Patent Application, Commissioner for Patents, Washington, DC 20231